

MASTER COPY: PLEASE KEEP THIS "MEMORANDUM OF TRANSMITTAL" BLANK FOR REPRODUCTION PURPOSES. WHEN REPORTS ARE GENERATED UNDER THE ARO SPONSORSHIP, FORWARD A COMPLETED COPY OF THIS FORM WITH EACH REPORT SHIPMENT TO THE ARO. THIS WILL ASSURE PROPER IDENTIFICATION. NOT TO BE USED FOR INTERIM PROGRESS REPORTS; SEE PAGE 2 FOR INTERIM PROGRESS REPORT INSTRUCTIONS.

MEMORANDUM OF TRANSMITTAL

U.S. Army Research Office
ATTN: AMSRL-RO-BI (TR)
P.O. Box 12211
Research Triangle Park, NC 27709-2211

Reprint (Orig + 2 copies)

Technical Report (Orig + 2 copies)

Manuscript (1 copy)

Final Progress Report (Orig + 2 copies)

Related Materials, Abstracts, Theses (1 copy)

CONTRACT/GRANT NUMBER:

REPORT TITLE:

is forwarded for your information.

SUBMITTED FOR PUBLICATION TO (applicable only if report is manuscript):

Sincerely,

REPORT DOCUMENTATION PAGE

Form Approved
OMB NO. 0704-0188

Public Reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comment regarding this burden estimates or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188,) Washington, DC 20503.

1. AGENCY USE ONLY (Leave Blank)	2. REPORT DATE	3. REPORT TYPE AND DATES COVERED	
4. TITLE AND SUBTITLE		5. FUNDING NUMBERS	
6. AUTHOR(S)		8. PERFORMING ORGANIZATION REPORT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)		10. SPONSORING / MONITORING AGENCY REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U. S. Army Research Office P.O. Box 12211 Research Triangle Park, NC 27709-2211		11. SUPPLEMENTARY NOTES The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.	
12 a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited.		12 b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words)			
14. SUBJECT TERMS			15. NUMBER OF PAGES
17. SECURITY CLASSIFICATION OR REPORT UNCLASSIFIED			16. PRICE CODE
18. SECURITY CLASSIFICATION ON THIS PAGE UNCLASSIFIED		19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL

NSN 7540-01-280-5500

Standard Form 298 (Rev.2-89)
Prescribed by ANSI Std. Z39-18
298-102

Impact of User Mobility and Asymmetry on Multiuser Scheduler Performance

Pengcheng Zhan, Ramesh Annavaajjala* and A. Lee Swindlehurst†

Abstract

In this paper, we are concerned with the performance of multiuser schedulers over an asymmetric network, where different users in the network have different average received signal-to-noise ratios (SNRs) and Doppler spreads. The throughput, in terms of the ergodic capacity of the channel, of a maximum-SNR scheduler (i.e., the scheduler that schedules the user with the largest instantaneous SNR) is investigated over Rayleigh fading channels with single-antenna base station and user terminals. Closed-form expressions are presented to quantify the degradation in maximum-SNR scheduler performance due to lack of the knowledge of the CSI. For an asymmetric network with a small number of users, a new simple scheduler, which does not require instantaneous CSI, is proposed, and its optimality is discussed.

Keywords: Multiuser diversity, proportional fair, Doppler spread, fading decorrelation, channel-aware schedulers.

1 Introduction

In a single cell, with single-antenna base station (BS) and user terminals (UTs), scheduling the strongest user at every transmission time, for either the uplink (UL) or the downlink (DL), is known to be optimal in the Shannon capacity sense [1, 2]. By allowing only the UT with the strongest instantaneous received signal-to-noise ratio (SNR) to transmit (i.e., the so-called maximum-SNR scheduler or, simply, max-SNR scheduler), the shared channel resource is used most efficiently, and results in maximum system throughput [3]. The resulting gain due to riding the peaks of the multiuser fading channel is termed multiuser diversity (MUD) gain [4], which, in a symmetric Rayleigh faded network (with equal average received SNRs for the UTs), grows doubly logarithmically with the number of UTs. However, when the network contains UTs with different average SNRs, max-SNR scheduler incurs unfairness, in the sense that the UT with the largest average SNR gets scheduled more often. The simplest scheduler that guarantees fairness among the UTs is a round-robin (RR) scheduler, which schedules the UTs in equal proportion, regardless of the instantaneous UT channel quality. A proportional-fair (PF) scheduler trades off the system throughput for fairness across UTs by scheduling the UTs based on the instantaneous channel quality relative to the average channel quality over the time window of interest [4].

In this paper, our first goal is to understand the impact of dissimilar average SNRs and Doppler spreads on the max-SNR scheduler performance. Assuming DL transmission, accurate knowledge of channel state information (CSI) at the BS is crucial to exploit the benefits of MUD. The UT also should have access to good quality CSI to obtain sufficient decoder performance. Lack or inaccuracy of the CSI leads to a significant performance degradation [5, 6], whereas the overhead in maintaining CSI penalizes the system throughput. Typically, a max-SNR scheduler selects the UT with the strongest SNR based on the initial channel quality measurements (say, at time $t = 0$), and allocates radio resources to the scheduled UT¹ over a duration T that is less than the coherence time T_c of that user. Depending on the ability of the system to track the channel quality of the UTs, we study two scenarios in the paper. These are: *i*) Perfect CSI, and *ii*) Outdated CSI. For the first case, we assume the system has perfect CSI only for the active UT during the whole allocated time duration T (i.e., tracking the channel quality of UT that is scheduled at $t = 0$). In this case, there is a performance degradation due to the fact that the SNR of another user may increase to a value that is better than the scheduled user during the allocation window T . For the second case, after making a scheduling decision based on the channel quality at $t = 0$, the BS makes no attempt to monitor the channel quality over the duration T . In this case, there is an additional loss due to decorrelated CSI. The practical significance of both cases *i*) and *ii*) is that they quantify the performance loss due to the lack of periodic channel quality feedback.

Our results for an asymmetric network with a small number of UTs, with different mobile speeds, show that the max-SNR scheduler may not necessarily perform better than a scheduler that does not have access to instantaneous CSI. The latter one is termed statistically channel-aware (SCA) scheduler, as it requires only the knowledge of the average received SNRs and the Doppler spreads of the UTs. We formulate a simple constrained optimization problem, which maximizes the average capacity of the proposed SCA scheduler, to allocate the time durations for the UTs. An algorithmic description of the SCA scheduler is also presented.

The rest of this paper is organized as follows. In Section 2, we present the system model. A thorough study of the performance of the max-SNR scheduler under different CSI assumptions is carried out in Section 3. In Section 4, we expose the drawbacks of the max-SNR scheduler for a small network with high mobility users, and propose our

*Ramesh Annavaajjala is with ArrayComm LLC, 2480 North First Street, San Jose, CA, 95131, USA. Email: ramesh@arraycomm.com

†Pengcheng Zhan and A. Lee Swindlehurst are with the Department of Electrical and Computer Engineering, Brigham Young University, Provo, UT 84602, USA. Email: ezhan@byu.edu, swindle@ee.byu.edu

¹The ‘scheduled UT’ is also termed ‘active UT’.

SCA scheduler. We conclude our work in Section 5.

2 System Model

We consider a single cell with a small group of K independently faded asymmetric users. Assuming single-antenna BS and UTs, we focus on DL (i.e., BS to UT) transmission. At time t , for UT j , $1 \leq j \leq K$, the instantaneous received SNR is denoted by $\gamma_j(t)$, whereas the corresponding statistical average is denoted by $\overline{\gamma_j(t)}$. We also assume that the users move at different speeds, and the resulting Doppler spread for the j th UT is given by $f_d^j = (v_j/c)f_c$, where f_c is the carrier frequency, c is the speed of light, and v_j is the speed of UT j . The complex-valued time-varying channel gain from BS to UT j , at time t , is denoted by $h_j(t)$, which is assumed to be a zero-mean complex-Gaussian (ZMCG) random variable (r.v)² with second moment $\mathbb{E}[|h_j(t)|^2] = \Omega_{h_j}(t)$. With this, the received signal at UT j is:

$$y_j(t) = h_j(t)x(t) + n_j(t), \quad (1)$$

where $x(t)$ is the transmitted signal with $\mathbb{E}[|x(t)|^2] = E_s(t)$ denoting the average transmit power, and $n_j(t) \sim \mathcal{CN}(0, \zeta_j^2)$. With this, we have $\gamma_j(t) = |h_j(t)|^2 E_s(t) / \zeta_j^2$ and $\overline{\gamma_j(t)} = \Omega_{h_j}(t) E_s(t) / \zeta_j^2$.

Due to the users' mobility, the channel for UT j changes for values of t greater than the coherence time T_c^j . Since $h_j(t)$ is ZMCG, $h_j(t)$ and $h_0(t)$ are related as [7]:

$$h_j(t) = \rho_j(t) \sqrt{\frac{\Omega_{h_j}(t)}{\Omega_{h_j}(0)}} h_j(0) + \sqrt{(1 - |\rho_j(t)|^2) \Omega_{h_j}(t)} \nu_j(t), \quad (2)$$

where $\nu_j(t) \sim \mathcal{CN}(0, 1)$. Assuming the Jakes fading correlation model [8] for each user, we write $\rho_j(t) = \mathcal{J}_0(2\pi f_d^j t)$, where $\mathcal{J}_0(\cdot)$ is the zero-th order Bessel function of the first kind [9]. We denote by T the time slot duration for this group of users (a BS can serve different groups of users), within which scheduler can make the decisions about which user to schedule, how much time to schedule it and so on. We further assume that $T \leq \min_{1 \leq j \leq K} (T_c^j)$.

3 Max-SNR Scheduler

As mentioned before, the system performance is a function of the quality of CSI at both the BS and UTs. The UTs usually estimate the CSI by extracting the pilots inserted in the transmitted data. The BS can obtain the CSI either by estimating the channel through the reverse link (assuming channel reciprocity), or via the feedback from UTs through a control channel. Keeping track of the CSI will incur a penalty in system throughput. Depending on the ability to track the CSI, this section addresses the max-SNR scheduler performance under different scenarios.

3.1 Ideal Performance

Under this assumption that the BS has perfect CSI of all the UTs during $0 \leq t \leq T$, the pdf of the maximum of the

²For simplicity, we denote $\mathbf{X} \sim \mathcal{CN}(m, \Sigma^2)$ to indicate that \mathbf{X} is a CG r.v with mean m and variance Σ^2 .

instantaneous SNR, at any $t \in [0, T]$, can be written as:

$$p_{\gamma_{\max}, t}(x) = \frac{\sum_{j=1}^K \sum_{l_j=0}^1 \sum_{p=1}^K l_p (-1)^{\sum_{j=1}^K l_j + 1} e^{-x \sum_{q=1}^K \frac{l_q}{\gamma_q(t)}}}{\overline{\gamma_p(t)}}. \quad (3)$$

The Shannon capacity of this scheduler is given by:

$$\begin{aligned} \overline{C(t)} &= \int_0^\infty \log_2(1+x) p_{\gamma_{\max}, t}(x) dx \\ &= \log_2(e) \sum_{j=1}^K \sum_{l_j=0}^1 (-1)^{1+\sum_{j=1}^K l_j} e^{\left(\sum_{j=1}^K \frac{l_j}{\gamma_j(t)}\right)} \times \\ &\quad \mathcal{E}_1 \left(\sum_{j=1}^K \frac{l_j}{\gamma_j(t)} \right), \end{aligned} \quad (4)$$

where $\mathcal{E}_1(x) = \int_x^\infty 1/t \cdot e^{-t} \cdot dt$ is the exponential integral function [9]. When the transmission power and the average channel gains are time-invariant (i.e., no Doppler), $\overline{\gamma_j(t)}$ will remain time-invariant. In this scenario, the Shannon capacity in (4) remains constant over the period $[0, T]$.

3.2 Channel Tracking of Scheduled User

Due to high CSI requirements, the scheduler described in Section 3.1 is impractical. Here, we investigate the case when the scheduler has the CSI for all the users *only at time* $t = 0$, based on which the initial scheduling decision is made for the duration $[0, T]$. In this period, only the active UT's channel is tracked at the UT side for the purpose of decoding the message, and is assumed to be perfectly known. Compared to the ideal scheduler, this system takes a performance hit due to not monitoring the channel quality of other UTs beyond $t = 0$. In this subsection, we quantify this loss.

From (2), conditioned on $h_j(0)$, it is easy to see that $\gamma_j(t) = |h_j(t)|^2 E_s(t) / \zeta_j^2$ is a non-central Chi-square r.v with the parameters [10]:

$$s_j^2(t) = |\rho_j(t)|^2 |h_j(0)|^2 \frac{\Omega_{h_j}(t)}{\Omega_{h_j}(0)} \frac{E_s(t)}{\zeta_j^2} = \frac{|\rho_j(t)|^2 \overline{\gamma_j(t)}}{\Omega_{h_j}(0)} |h_j(0)|^2 \quad (5)$$

and

$$2\sigma_j^2(t) = (1 - |\rho_j(t)|^2) \Omega_{h_j}(t) \frac{E_s(t)}{\zeta_j^2} = (1 - |\rho_j(t)|^2) \overline{\gamma_j(t)}. \quad (6)$$

Therefore, the cumulative distribution function (CDF) of $\gamma_j(t)$ conditioned on $h_j(0)$ is [10, 11]:

$$P(\gamma_j(t) \leq x | h_j(0)) = 1 - \mathcal{Q}_1 \left(\frac{s_j(t)}{\sigma_j(t)}, \frac{\sqrt{x}}{\sigma_j(t)} \right),$$

where $\mathcal{Q}_1(a, b)$ is the first-order Marcum-Q function [10]. It can be easily shown that the pdf of the maximum SNR, with

UT j being the strongest user at time $t = 0$ is:

$$\begin{aligned}
p_{\gamma,j}(x, j, t = 0) &= \frac{e^{-\frac{x}{\gamma_j(0)}}}{\gamma_j(0)} \prod_{i=1, i \neq j}^K \left(1 - e^{-\frac{x}{\gamma_i(0)}}\right) \\
&= \sum_{i=1, i \neq j}^K \sum_{l_i=0}^1 (-1)^{\sum_{i=1, i \neq j}^K l_i} \times \\
&\quad \frac{e^{-\left(\sum_{i=1, i \neq j}^K \frac{l_i}{\gamma_i(0)} + \frac{1}{\gamma_j(0)}\right)x}}{\gamma_j(0)}. \tag{7}
\end{aligned}$$

Upon defining

$$\begin{aligned}
F(\alpha, k) &\triangleq \frac{e^\alpha}{k!} \sum_{j=0}^K (-1)^j \binom{k}{j} \alpha^j \int_\alpha^\infty e^{-t} t^{k-j-1} dt \\
&= e^\alpha \sum_{j=0}^K (-1)^j \binom{k}{j} \alpha^j \frac{G(\alpha, k-j)}{k(k-1)\cdots(k-j)}, \tag{8}
\end{aligned}$$

where $G(\alpha, k) = \frac{1}{(k-1)!} \int_\alpha^\infty e^{-t} t^{k-1} dt$ is the incomplete gamma function [9], the Shannon capacity of a max-SNR scheduler that tracks the channel of the active UT for the whole time slot T can be derived as:

$$\begin{aligned}
C(t) &= \sum_{j=1}^K \int_0^\infty C_{j|h_j(0)}(t) p_{\eta_j(0),j}(x, j, t = 0) dx \\
&= \sum_{j=1}^K \sum_{i=1, i \neq j}^K \sum_{l_i=0}^1 \sum_{n=0}^\infty \sum_{k=0}^n \frac{\log_2(e)}{\gamma_j(0)} \times \\
&\quad \frac{(b_j(t))^n (-1)^{\sum_{i=1, i \neq j}^K l_i} F(\alpha_j(t), k)}{\left(\sum_{i=1, i \neq j}^K \frac{l_i}{\gamma_i(0)} + \frac{1}{\gamma_j(0)} + b_j(t)\right)^{n+1}}, \tag{9}
\end{aligned}$$

where $\alpha_j(t) = \frac{1}{(1-|\rho_j(t)|^2)\gamma_j(t)}$ and $b_j(t) = \frac{|\rho_j(t)|^2}{(1-|\rho_j(t)|^2)\gamma_j(0)}$. Details of (9) can be found in [12].

A Monte-Carlo simulation is carried out to simulate the Shannon capacity of the max-SNR scheduler over a network with 3 asymmetric users. At time $t = 0$, each user's channel is generated randomly, and the strongest user is selected according to the users' instantaneous SNR at this time. After the user is selected, random samples are generated to evolve the channel according to (2). The Shannon capacity is measured by averaging over a large number of channel realizations. For simplicity, the second order statistics of each user's channel is assumed to be constant (i.e., $\Omega_{h_j}(t) = C$, $t \in [0, T]$), and each user's transmit power and noise variance are also set to be constant during the scheduled time slot. The carrier frequency is set to $f_c = 10$ GHz, the average SNRs of the users is set to [15, 25, 20] dB, the Doppler speed is set to [40, 100, 50] m/s, and the time slot duration T is set to be 120 μ s. The same set of parameters will be used throughout the paper. As can be seen in Fig. 1, the simulated results agree very well with the analysis in (9). The performance loss can be quantified as the difference between (4) and (9).

3.3 Impact of Channel Tracking Inability

When the channel feedback frequency is severely constrained, the UTs provide only the initial CSI to the BS

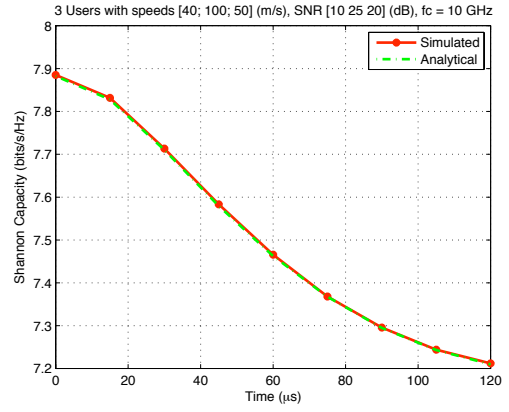


Figure 1: Shannon capacity of a max-SNR scheduler. Only the scheduled user at $t = 0$ has access to perfect CSI over $t \in [0, T]$, where T being the scheduling period.

scheduler. Once a scheduling decision is made, during the scheduling period the BS will make no further attempts to track the channel of any of the UTs. More importantly, at the UT side, the receiver doesn't track its own channel. The initially obtained CSI is used throughout its scheduled time to decode the message. Clearly, the required CSI load in this case is significantly less in comparison with the approaches in Sections 3.1 and 3.2. However, not tracking the channel at all, after $t = 0$, leads to further performance degradation over the one described in Section 3.2.

Using (2) in (1), the instantaneous SNR of UT j given $h_j(0)$ is:

$$\begin{aligned}
\eta_j(t) &\triangleq \frac{|\rho_j(t)|^2 |h_j(0)|^2 \frac{\Omega_{h_j}(t)}{\Omega_{h_j}(0)} E_s(t)}{(1 - |\rho_j(t)|^2) \Omega_{h_j}(t) E_s(t) + \zeta_j^2} \\
&= \frac{|\rho_j(t)|^2 |h_j(0)|^2 E_s(t)}{\Omega_{h_j}(0) \left[(1 - |\rho_j(t)|^2) E_s(t) + \frac{\zeta_j^2}{\Omega_{h_j}(t)} \right]} \tag{10} \\
&= \phi_j(t) \eta_j(0)
\end{aligned}$$

where

$$\begin{aligned}
\phi_j(t) &\triangleq \frac{|\rho_j(t)|^2 E_s(t)}{E_s(0) / \zeta_j^2 \Omega_{h_j}(0) \left[(1 - |\rho_j(t)|^2) E_s(t) + \frac{\zeta_j^2}{\Omega_{h_j}(t)} \right]} \\
&= \frac{|\rho_j(t)|^2 \gamma_j(t)}{\gamma_j(0) \left[(1 - |\rho_j(t)|^2) \gamma_j(t) + 1 \right]}. \tag{11}
\end{aligned}$$

Averaging over $\eta_j(t)$ and the users, we obtain the Shannon capacity in the absence of channel tracking as [12]:

$$\begin{aligned}
C(t) &= \mathbb{E}_j \left(\mathbb{E}_{\eta_j(t)} (\log_2(1 + \eta_j(t))) \right) \tag{12} \\
&= \sum_{j=1}^K \frac{\log_2 e}{\gamma_j(0)} \sum_{i=1, i \neq j}^K \sum_{l_i=0}^1 \frac{(-1)^{\sum_{i=1, i \neq j}^K l_i}}{\sum_{i=1, i \neq j}^K \frac{l_i}{\gamma_i(0)} + \frac{1}{\gamma_j(0)}} \times \\
&\quad \frac{e^{-\frac{\sum_{i=1, i \neq j}^K \frac{l_i}{\gamma_i(0)} + \frac{1}{\gamma_j(0)}}{\phi_j(t)}}}{\mathcal{E}_1 \left(\frac{\sum_{i=1, i \neq j}^K \frac{l_i}{\gamma_i(0)} + \frac{1}{\gamma_j(0)}}{\phi_j(t)} \right)} \tag{13}
\end{aligned}$$

We perform a Monte-Carlo simulation for this scheduler. The result shown in Fig. 2 verifies the accuracy of the anal-

ysis. We also observe from Fig. 2 that the loss in capacity is significant when the scheduler does not keep track of the user’s channel (6 bits/s/Hz loss in Fig. 2 as compared to 0.95 bits/s/Hz loss in Fig. 1 at the end of the scheduling time T). The difference between (9) and (12) characterizes additional loss due to UT’s not tracking its own channel.

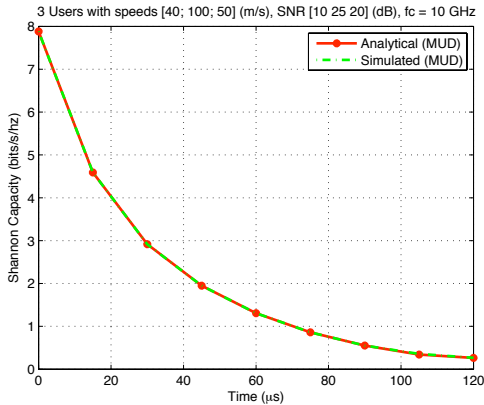


Figure 2: Shannon capacity of the max-SNR scheduler. Channel knowledge is known for the selected user only at the scheduling time.

4 SCA Scheduler

As seen from Fig. 2, when the strongest user (in terms of average SNR) in the network has a large Doppler spread, the Shannon capacity of the max-SNR scheduler decays quickly with time. We conjecture that for a small network, where the number of asymmetric users is not large, scheduling the strongest user without tracking its channel for a period of time may not provide better throughput than simply selecting a user with a similar average SNR, but with a smaller Doppler spread. This is attributed to the observation that high mobility will outdate the channel knowledge very quickly. Under these conditions, Doppler spread as well as average SNR should be key factors to be considered for making scheduling decisions. Here, we consider a simple statistically channel-aware scheduler that requires only the average SNRs and the Doppler spreads as inputs. As motivation, consider a scheduler that selects a user, say j , and allocates time slot T to it regardless of how good its channel is when compared to the other of users. The selected user’s Shannon capacity is given by [12]:

$$C_j(t) = \mathbb{E}(\log_2(1 + \phi_j(t)\eta_j(0))) \quad (14)$$

$$= \log_2 e \times e^{\frac{(1-|\rho_j(t)|^2)\overline{\gamma_j(t)}+1}{|\rho_j(t)|^2\overline{\gamma_j(t)}}} \times \mathcal{E}_1\left(\frac{(1-|\rho_j(t)|^2)\overline{\gamma_j(t)}+1}{|\rho_j(t)|^2\overline{\gamma_j(t)}}\right).$$

In Fig. 3, we plot the Shannon capacity of the max-SNR scheduler and this simple scheduler according to (12) and (14), respectively. From Fig. 3, we conclude that: a) selecting the strongest at user $t = 0$, and scheduling it for $T = 120\mu s$ without tracking its channel, is not as good as

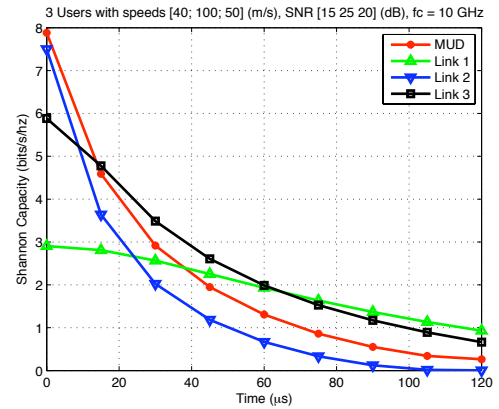


Figure 3: Shannon capacity of multiuser schedulers. Channel knowledge is known for the selected user only at the scheduling time.

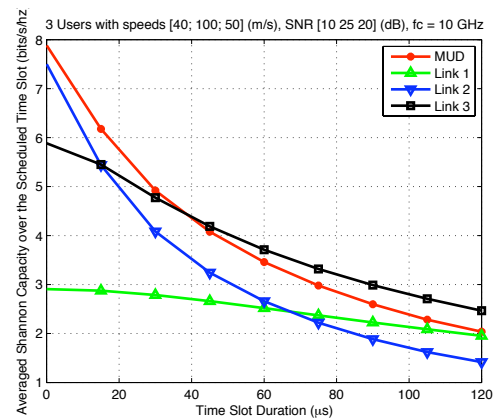


Figure 4: Average Shannon capacity of max-SNR scheduler, and the capacity of a given user link.

simply selecting user ‘3’ for the whole time duration, and b) the gain of MUD over selecting the user with the largest average SNR (user ‘2’) is marginal. The authors attribute these observations to two reasons: 1) the number of the users in the network is not large, hence the possible MUD gain is not large to start with, and 2) due to the asymmetric network setup, with high probability, the max-SNR scheduler selects user ‘2’ who has the strongest average SNR. However, due to high Doppler spread, user ‘2’ channel de-correlates much quicker than the rest of the users. Therefore, in a small network with some high mobility users, MUD without the CSI for the whole scheduling time may lead to suboptimal performance.

To determine whether to use a max-SNR scheduler or a SCA scheduler, one reasonable approach would be to average (12) and (14) over $[0, T]$, and use them as the metrics for user selection. Fig. 4 plots such metrics mentioned above as a function of scheduled time slot duration. It is clear from Fig. 4 that there are crossover points for different curves in the figure. Therefore, besides the users’ average SNRs and Doppler spreads, the slot duration is also an important parameter to determine the optimal scheduler type (max-SNR versus SCA), and user selection. When $T = 120\mu s$, user

3 is the optimal user to be scheduled. However, it neither corresponds to the user with the largest average SNR nor corresponds to the user with the smallest Doppler spread. When $T \lesssim 40\mu s$, the max-SNR scheduler that extracts the MUD gain would be the right choice. For the rest of this paper, we dedicate our attention to the SCA scheduler when the MUD gain is not of much concern. However, which user to schedule, and for how much time, will be the focus of the rest of this section.

4.1 Scheduling Single User

In this section, we study the type of SCA, which schedules only one user for the whole period of time duration T . We assume the selected user only estimates its own channel at time $t = 0$ at the receiver side for the purpose of decoding the message. At the scheduler side, no CSI is required to determine the prospective user. Only average SNR and Doppler spread information is needed to make the scheduling decision. As explained in the last paragraph, the optimal scheduling strategy is a function of T . To answer the question *Which user is the optimal user to be scheduled*, integration over $[0, T]$ is involved. When the scheduled time duration T is smaller than the minimum of all the intersecting points at which the capacity curve (averaged over $[0, T]$, i.e. Fig.4) of the user with the largest average SNR crosses the remaining curves, the user with the largest average SNR is the optimal user to schedule. And in this case, an SCA scheduler bases its decision only on users' average SNR. For example, in Fig. 4, if T is less than the intersecting point of the blue curve and the black curve, scheduling user '2' for the whole T is optimal.

To determine the intersecting points in Fig. 4 is hard, because integration is involved. As can be proved, the intersecting point in Fig. 3 always lower bounds the desired one. Therefore, we propose to determine the intersecting point in Fig. 3. When $T < T_c^j$, we can write $2\pi \cdot f_d^j \cdot T < 4$, and, from [13], we use the polynomial approximation of Bessel functions: $\mathcal{J}_0(x) \approx \sum_{m=0}^n C_{nm} x^{2m}$, with $n = 2$ and $C_{nm} = \frac{(-1)^m n^{1-2m} (n+m-1)!}{2^{2m} (n-m)! (m!)^2}$. Since each term in (14) has the form $e^x \mathcal{E}_1(x)$, we can prove that the latter is monotonically decreasing in x [12]. It can be shown that the following holds true when the capacity curves of users i and j intersect:

$$\frac{|\rho_j(t)|^2}{|\rho_i(t)|^2} = \frac{\overline{\gamma_i(t)}(\overline{\gamma_j(t)} + 1)}{\overline{\gamma_j(t)}(\overline{\gamma_i(t)} + 1)} \triangleq \epsilon_{ji}. \quad (15)$$

Using polynomial approximation for $\rho_j(t) = \mathcal{J}_0(2\pi f_d^j T)$:

$$\left(\frac{\sum_{m=0}^n C_{nm} x_j^{2m}}{\sum_{m=0}^n C_{nm} x_i^{2m}} \right)^2 = \epsilon_{ji}, \quad (16)$$

where $x_i = 2\pi f_d^i T$. Upon setting $x_j/x_i = f_d^j/f_d^i \triangleq k_{ji}$, an approximation to (16), with $n = 2$, is given by

$$\sum_{m=1}^2 C_{2m} (k_{ji}^{2m} - \sqrt{\epsilon_{ji}}) x_i^{2m} + C_{20} (1 - \sqrt{\epsilon_{ji}}) = 0. \quad (17)$$

In (17), we determine the smaller positive root x_i , which can be divided by $2\pi f_d^i$ to obtain $T_{i,j}$, $i \neq j$. If user v

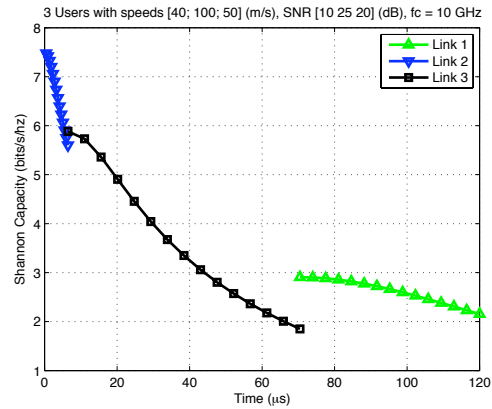


Figure 5: Shannon capacity of the SCA scheduler. Channel knowledge is known for the selected user only at the scheduling time.

is the one with the largest average SNR, we call $T_B = \min(T_{v,1}, \dots, T_{v,v-1}, T_{v,v+1}, \dots, T_{v,K})$. If $T \leq T_B$, it is guaranteed that scheduling user v for the whole period of T will be optimal.

4.2 Scheduling More Than One User

When the SCA scheduler has the luxury to schedule more than one users during the time duration T , switching between the users will always provide better throughput when compared to the case where only one user is allowed to be scheduled for T . For simplicity, the overhead in switching the users is neglected. With all pairs of intersecting points $T_{i,j}$, $i \neq j$ available, one sub-optimal SCA scheduler is described as follows.

1. Sort the users according to their averaged SNR in descending order. The indices in the sorted set $\mathcal{I} = \{l_1, \dots, l_K\}$ are such that $\overline{\gamma_{l_1}} > \dots > \overline{\gamma_{l_K}}$
2. Schedule user l_1 for $T_1 = \arg \min_j T_{l_1,j}$. If $T_1 \geq T$, schedule user l_1 for the whole T
3. For m -th scheduled user, when $m < K$, if $\sum_{j=1}^{m-1} T_j \geq T$, the scheduler has finished its job of selecting users. Otherwise, we schedule user l_m for $T_m = \min \left(\arg \min_{j, j \neq \cup_{l=1}^{m-1} l_l} T_{l_m,j}, T - \sum_{j=1}^{m-1} T_j \right)$
4. For the K -th scheduled user, if $\sum_{j=1}^{K-1} T_j < T$, schedule it for $T_K = T - \sum_{j=1}^{K-1} T_j$. Otherwise, the scheduling is done

The system capacity of the SCA scheduler is shown in Fig.5. This plot consists of piecewise continuous curves that are from different users' capacity curves (shown in Fig. 3). Since each user's signal fades independently, when j -th user is scheduled, the system's behavior can be captured by the $[0, T_j]$'s portion of j -th user's curve in Fig. 3. The discontinuity is due to switching the users. It is obvious that the SCA scheduler offers better throughput than the scheduler that schedules only one of the users for the whole period

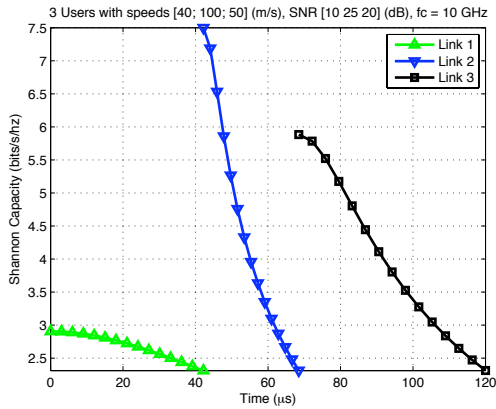


Figure 6: Shannon capacity of the optimal scheduler. Channel knowledge is known for the selected user only at the scheduling time.

of time T . However, this gain can be simply achieved by switching to a different user at any time instant within T . The portion of time allocated to each user may not be optimal in maximizing the total throughput of the network over $[0, T]$.

When multiple users need to be scheduled (i.e., $T \geq T_B$), to optimize the total network throughput for the whole period of time, the following optimization problem is formulated:

$$[T_1, \dots, T_K] = \arg \max_{[x_1, \dots, x_K]} f([x_1, \dots, x_K])$$

such that $\sum_{j=1}^K x_j = T$, and $x_j \geq 0$, for $1 \leq j \leq K$, (18)

where

$$f([x_1, \dots, x_K]) = \sum_{j=1}^K \int_0^{x_j} \log_2 e \times e^{\frac{(1-|\rho_j(t)|^2)\overline{\gamma_j(t)}+1}{|\rho_j(t)|^2\overline{\gamma_j(t)}}} \times \mathcal{E}_1 \left(\frac{(1-|\rho_j(t)|^2)\overline{\gamma_j(t)}+1}{|\rho_j(t)|^2\overline{\gamma_j(t)}} \right) dt. \quad (19)$$

The gradient of the above cost function can be easily evaluated by plugging x_j 's into (14):

$$\frac{\partial f}{\partial x_j} = \log_2 e \times e^{\frac{(1-|\rho_j(x_j)|^2)\overline{\gamma_j(x_j)}+1}{|\rho_j(x_j)|^2\overline{\gamma_j(x_j)}}} \times \mathcal{E}_1 \left(\frac{(1-|\rho_j(x_j)|^2)\overline{\gamma_j(x_j)}+1}{|\rho_j(x_j)|^2\overline{\gamma_j(x_j)}} \right). \quad (20)$$

Any gradient based numerical optimization technique can be used to find the optimal time allocation for each user (i.e., $[T_1, \dots, T_K]$). The Shannon capacity of this optimal scheduler is plotted in Fig. 6. A comparison between Fig. 6 and Fig. 5 does justify the better performance of this optimal scheduler. The averaged Shannon capacity over $[0, 120]$ μ s equals to 3.3592 bits/s/Hz for the scheduler in Fig. 5, whereas it is 3.6023 bits/s/Hz for the one in Fig. 6. A comparison among SCA, RR, and PF schedulers can be found in [12].

5 Conclusion

In this paper, we investigated the impact of user mobility and asymmetry on the multiuser scheduler performance. Closed-form expressions were derived for the max-SNR scheduler performance under various assumptions on the level of CSI. Our simulations showed that channel-aware multiuser scheduling is not always optimal for a small network with a large Doppler spread. Over such networks, we proposed a simple SCA scheduler that achieves significant improvements to the system throughput.

References

- [1] R. Knopp and P. Humblet, "Information capacity and power control in single-cell multiuser communications," in *IEEE International Conference on Communications*, vol. 53, June 1995, pp. 331–335.
- [2] D. Tse, "Optimal power allocation over parallel gaussian broadcast channels," in *Proceedings of IEEE International Symposium on Information Theory*, 1997, p. 27.
- [3] P. Viswanath, D. N. C. Tse, and R. Laroia, "Opportunistic beamforming using dumb antennas," in *IEEE Transactions on Information Theory*, vol. 48, June 2002, pp. 1277–1294.
- [4] D. Tse and P. Viswanath, *Fundamentals of Wireless Communication*. Cambridge University Press, 2005.
- [5] D. Piazza and L. Milstein, "Multiuser diversity-mobility tradeoff: Modeling and performance analysis of a proportional fair scheduling," in *IEEE Global Telecommunications Conference*, vol. 1, 2002, pp. 906–910.
- [6] Q. Ma and C. Tepedelenlioglu, "Practical multiuser diversity with outdated channel feedback," in *IEEE Transactions on Vehicular Technology*, vol. 54, 2005, pp. 1334–1345.
- [7] T. Anderson, *An Introduction to Multivariate Statistical Analysis*. Wiley Series in Probability and Statistics, 2003.
- [8] W. Jakes, *Microwave Mobile Communications*. Wiley, 1974.
- [9] M. Abramowitz and I. A. Stegun, *Handbook of Mathematical Functions*. Applied Mathematics Series 55. New York: National Bureau of Standard, 1964.
- [10] J. G. Proakis, *Digital Communications*. McGraw Hill, 2001.
- [11] M. Simon and M. Alouini, *Digital Communication over Fading Channels*. Wiley, 2004.
- [12] P. Zhan, R. Annavajjala, and A. L. Swindlehurst, "A comparative study of multi-user scheduler performance with user mobility and asymmetry," in *in preparation*, 2007.
- [13] R. Millane and J. Eads, "Polynomial approximations to bessel functions," in *IEEE Transactions on Antennas and Propagation*, vol. 51, Jun. 2003, pp. 1398 – 1400.